

Attorney's Docket No.: 06618/923001  
Client's Ref. No.: CIT 3685-CIP/OE 042

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Applicant : Anatoliy Savchenkov Art Unit : 2874  
et al. Examiner : Daniel J. Petkovsek

Serial No.: 10/702,201

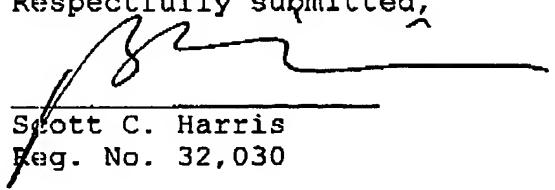
Filed : November 4, 2003

Title : Optical Filter Having Coupled Whispering-Gallery-  
Mode Resonators

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a  
Request for Initialed 1449, faxed this 28<sup>th</sup> day of July 2005, to  
the United States Patent and Trademark Office.

Respectfully submitted,

  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,030

By Bing Ai  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al. Art Unit : 2874  
 Serial No.: 10/702,201 Examiner : Daniel Petkovsek  
 Filed : November 4, 2003  
 Title : OPTICAL FILTER HAVING COUPLED WHISPERING-GALLERY-MODE RESONATORS

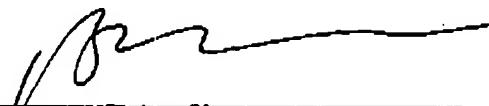
Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an information disclosure statement filed with the original application on November 8, 2002.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return this form as soon as possible. Note, applicants must pay the issue fee by October 14, 2005, so the examiner's prompt attention to this matter would be appreciated.

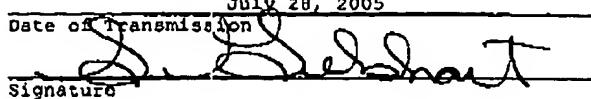
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## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

July 28, 2005  
 Date of Transmission  
  
 Signature

S. Gebhart

Name of Person Signing Certificate

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-923001	Application No.
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant <b>Anatoliy Savchenkov et al.</b>	
		Filing Date November 4, 2003	Group Art Unit
(37 CFR §1.98(b))			

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0018611	2/14/2002	Maleki et al.			
	AB	6389197	5/14/2002	Ilchenko et al.			
	AC	6473218	10/29/2002	Maleki et al.			
	AD	6487233	11/26/2002	Maleki et al.			
	AE	6567436	5/20/2003	Yao et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes      No
	AL						
	AM						
	AN						
	AO						
	AP						

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)